



संख्या 6116 / S.Audit/URRDA/2017-18

दिनांक

२० मार्च 2018

### कार्यवृत्त

दिनांक 20 मार्च 2018 को प्रातः 11.30 बजे यू0आर0आर0डी0ए0 कार्यालय में Statutory Auditor नियुक्ति के सम्बन्ध में मांगी गयी निविदाओं पर मुख्य अभियन्ता की अध्यक्षता में प्री-बिड मीटिंग आहूत की गयी, जिसमें निम्नलिखित ने प्रतिभाग किया:-

- 1- श्रीमति प्रतिमा पैन्थूली, वित्त नियंत्रक
- 2- श्री प्रमोद कुमार भाटिया, सहायक लेखाधिकारी,
- 3- कु0 तरमेन्द्र कौर, सी0ए0, यू0आर0आर0डी0ए0
- 4- श्री नफीस अहमद, सहायक लेखाकार,
- 5- कु0 ज्योत्सना, प्रतिनिधि सी0ए0 टी0 नागर एण्ड क0
- 6- श्री अनमोल, प्रतिनिधि सी0ए0 सिंह अग्रवाल एण्ड ऐसो0,  
राजा रोड देहरादून।

मीटिंग में चार्टर्ड एकाउन्टेन्ट फर्मों के द्वारा जिन बिन्दुओं पर जानकारी चाही गयी है उनका प्रीबिड मीटिंग में समाधान किया गया।

इसके अतिरिक्त अवगत कराया गया है कि सम्बन्धित फर्मों के द्वारा EOI व Technical Evaluation & Financial पृथक-पृथक लिफाफों में दिनांक 26.03.2018 को कार्यालय समय में प्रातः 11:00 बजे से सांयः 04:00 बजे तक जमा कराया जायेगा।

इसके अतिरिक्त जैसा कि पूर्व में RFP (Annexure-V) के बिन्दु संख्या 6.6.1 में व्यक्त किया जा चुका है कि यू0आर0आर0डी0ए0 द्वारा निर्धारित मूल्यांकन समिति के द्वारा प्रथम लिफाफा जो कि तकनीकी मूल्यांकन प्रस्ताव से सम्बन्धित है, को दिनांक 28.03.2018 को प्रातः 11:30 बजे उपस्थित सी0ए0 फर्मों के प्रतिनिधिओं के समक्ष खोला जायेगा।



वित्त नियंत्रक  
यू0आर0आर0डी0ए0

प्रतिलिपि:- समस्त आवेदक सी0ए0 फर्म को इस अनुरोध के साथ कि निर्धारित तिथीवार एव ससमय उक्तानुसार कार्यवाही करना सुनिश्चित करें।



वित्त नियंत्रक  
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**Scoring Scheme for short listing at the FOI stage**  
**URRDA Dehra Dun**

	Name of Firm					
		Qualified (out of 100 points)	Qualified (out of 100 points)	Qualified (out of 100 points)	Qualified (out of 100 points)	Qualified (out of 100 points)
01	<b>Long standing of the firm</b> 1 Point for each year of existence over 10 years (Max. points 10)					
02	<b>Turnover of the firm for the last three financial years from audit and assurances services "Specified Turnover" (max.points 15)</b> i) 15 points for Specified Turnover of Rs. 10 Lacs or above in each of the last three Financial years ii) 8 points for Specified Turnover of Rs. 50 Lacs and above at least two of the last three Financial years, iii) 4 points for Specified Turnover of Rs. 25 lacs and less than Rs. 50 lacs					
03	<b>Experience and Association of Partners (max points 15)</b> i) 3 points for each Partner with POE of 10 years or more with the firm ii) 2 Points for each Partner with POE of 5 years or more with the firm, iii) 1 Points for each Partner with POE of more than 1 year with the firm					
04	<b>Experience and Association of qualified full time staff (max.points 10)</b> (being CAs or Cost Accountants or technically qualified staff relevant to the project) i) 3 points for each full time staff with POE of 10 years or more with the firm, ii) 2 Points for each full time staff with POE of 5 years or more with the firm, iii) 1 Point for each full time staff with POE or more than 1 year with the firm					

05	<p><b>Experience of the firm during the last 5 years in audit of Financial Statements/Internal Audit of-</b></p> <p>a. Projects financed by bilateral or multilateral agencies ( 4 points for each project) (max points 20)</p> <p>b. <b>Project in the same sector other than above</b> ( 3 Points for each projects) (max points 15)</p> <p>c. <b>Agencies implementing government projects other than above</b> ( 2 points for each agency) (max. points 10)</p>									
6	<p><b>Presence in the state where project headquarters is located-</b> (max. points 5)</p> <p>i) 5 points if the head office of the applicant firm is located in the same state as the project headquarters</p> <p>ii) <b>3 points</b> if a branch office of the applicant firm is located in the same state as the project headquarters for at least 5 years and headed by a partner of the firm</p> <p>iii) <b>1 Point</b> if either the branch is in existence for less than 5 years but more than 3 years or is headed by a qualified employee</p>									
7	<b>Total Marks</b>									
8	<b>Qualified/Disqualified( Not less than 65 Marks)</b>									

(Chief Engineer)

(Finance Controller)

(Ex. Engineer)

**Technical proposal evaluation-Criteria and Scoring Scheme**  
**URRDA Dehra Dun for appointing The Chartered Accountant Firm as Statutory Auditor.**

Criteria	Sub Criteria	Scoring	Qualified (out of 100 points)	Qualified (out of 100 points)	Qualified (out of 100 points)
01	<b>Specific Experience of the audit firm relevant to the assignment</b> (Detail of such audits completed with copies of appointment letters.	Experience in audit of projects supported by the World Bank	2 Point for each audit of project supported by the World Bank completed during the last 5 year (Max. points 10)		
02	<b>Adequacy of the proposed methodology and work plan in responding to the Terms of Reference and Bank requirement</b> Detailed Audit Work Plan covers following areas: i) Compliance with PMGSY Guidelines, Accounts Manual, Ops Manual ii) For expend on WB contracts, fund utilization in accordance with the project legal agreements iii) Assignment of Internal Control environment iv) Deposits and Advances v) Contract management vi) legal requirements vii) procedure for seeking independent Balance confirmation from Banks and contractors viii) Management Letter preparation (Total Max. points 25)	Quality of the audit methodology proposed for the assignment	1-Overall approach to the audit assignment for providing opinion on the project financial statements, the adequacy of internal controls and funds have been utilized for the intended purposes in accordance with the project legal agreement/s and have been correctly reported in the IFR (Max. points 10)  2-Opinion on the compliance with Bank's procurement guidelines. (Max. points 5) 3-Approach in preparing the Management letter (Max. points 5) 4- Quality assurance mechanism (Max. points 5)		
	Detailed Work Plan meeting the requirement of the assignment	Adequacy and practicality of the Audit work Plan suggested for the assignment in achieving the timelines provided in RFP (Max. points 5)			

	Composition of the team proposed for the assignment briefing their qualifications.	Organization and staffing proposed for the assignment	1 Point for each for qualified staff (Other than Team Leader and Audit Manager) proposed for the assignment (Max. points 5)
3	Key Professional staff qualifications and competence for the assignment of the Team Leader/Audit Partner	General experience	1 Point for every year completed as Partner signing audit reports. (max. points 3)
4	Key Professional staff qualifications and competence for the assignment separately of the Audit Manager	Adequacy for the assignment	3 Points for each audit of project supported by the World Bank conducted either as Audit Partner or as Audit Manager (max. points 15)
		Experience in region and language	1 Point for each audit of entities working in the relevant sector conducted either as Audit Partner or as Audit Manager (Max. points. 5)
		General experience	1 Point each for knowledge of local language (Reading, Speaking and writing) (Max. Points. 3)
		Adequacy for the assignment	1 Point for each additional year of POE beyond 5 years (Max. Points 3)
			3 Points for each of project supported by the World Bank conducted either as Audit Partner or as Audit Manager (Max. Points 15)
			1 Point for each audit of project supported by other bilateral or multi-lateral agencies (e.g. Dfid, ADB, UNDP etc.) conducted either as Audit Partner or



**Financial proposal evaluation-Criteria and Scoring Scheme  
 URRDA Dehra Dun for appointing The Chartered Accountant Firm as Statutory Auditor.**

Criteria	Sub Criteria			
1	Technical Score			
2	Technical Score out of 75			
3	Financial Bids received from the Firms			
4	Evaluation of Financial Proposal	The lowest evaluated proposal received the maximum score of 100 marks		
5	Financial Score out of 25			
6	Total Technical & Financial Score (2+5)			

**M/s ..... obtained highest Score and selected for award of assignment.**

(Chief Engineer)

(Finance Controller)

(Ex.Engineer)